

Homotopy Type Theory

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- ▶ *Univalent Foundations* is an ambitious new program of foundations of mathematics based on HoTT.
- ▶ New constructions based on homotopical intuitions are added as Higher Inductive Types, providing many classical spaces, quotient types, truncations, etc.
- ▶ The new Univalence Axiom is also added. It implies that isomorphic structures are equal, in a certain sense.

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- ▶ Applications to software verification are current work in progress.
- ▶ There is a comprehensive book containing the informal development, which was written at a year-long special research program at the Institute for Advanced Study in Princeton.

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Formal calculus of typed terms and equations, presented as a deductive system by rules of inference.

Intended as a foundation for constructive mathematics, but now used also in the theory of programming languages and as the basis of computational proof assistants.

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This is known as the **Curry-Howard correspondence**:

0	1	$A + B$	$A \times B$	$A \rightarrow B$	$\sum_{x:A} B(x)$	$\prod_{x:A} B(x)$
\perp	\top	$A \vee B$	$A \wedge B$	$A \Rightarrow B$	$\exists_{x:A} B(x)$	$\forall_{x:A} B(x)$

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Gives the system its **constructive character**.

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It's natural to add a primitive relation of **identity** between any terms of the same type:

$$x, y : A \vdash \text{Id}_A(x, y)$$

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Schematically:

$$“ a = b \ \& \ R(x, x) \ \Rightarrow \ R(a, b) ”$$

Intensionality

The rules are such that if a and b are **equal** as terms:

$$a = b$$

then they are also logically **identical**:

$$t : \text{Id}_A(a, b) \quad (\text{for some } t).$$

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- ▶ Allowing such distinctions gives the system good computational and proof-theoretic properties.
- ▶ It also gives rise to a structure of great combinatorial complexity.

The homotopy interpretation (Awodey-Warren)

Suppose we have terms of ascending identity types:

$$a, b : A$$

$$p, q : \text{Id}_A(a, b)$$

$$\alpha, \beta : \text{Id}_{\text{Id}_A(a,b)}(p, q)$$

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Consider the following interpretation:

Types	\rightsquigarrow	Spaces
Terms	\rightsquigarrow	Maps
$a : A$	\rightsquigarrow	Points $a : 1 \rightarrow A$
$p : \text{Id}_A(a, b)$	\rightsquigarrow	Paths $p : a \Rightarrow b$
$\alpha : \text{Id}_{\text{Id}_A(a,b)}(p, q)$	\rightsquigarrow	Homotopies $\alpha : p \Rightarrow q$
\vdots		

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This is the notion of a “fibration” of spaces.

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Dependent types $x : A \vdash B(x) \rightsquigarrow$ Fibrations $B \downarrow A$

The type $B(a)$ is the fiber of $B \rightarrow A$ over the point $a : A$

$$\begin{array}{ccc} B(a) & \longrightarrow & B \\ \downarrow & & \downarrow \\ 1 & \xrightarrow{a} & A. \end{array}$$

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Take the space A' of all paths in A :

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The fiber $\text{Id}_A(a, b)$ over a point $(a, b) \in A \times A$ is the space of paths from a to b in A .

$$\begin{array}{ccc} \text{Id}_A(a, b) & \longrightarrow & A' \\ \downarrow & & \downarrow \\ 1 & \xrightarrow{(a,b)} & A \times A \end{array}$$

The homotopy interpretation: Identity types

The path space A^I classifies homotopies $\vartheta : f \Rightarrow g$ between maps $f, g : X \rightarrow A$,

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So given any terms $x : X \vdash f, g : A$, an identity term

$$x : X \vdash \vartheta : \text{Id}_A(f, g)$$

is interpreted as a **homotopy** between f and g .

The homotopy interpretation: Summary

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types \rightsquigarrow spaces

terms \rightsquigarrow continuous functions

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$p : \text{Id}_X(a, b) \rightsquigarrow p$ is a path from point a to point b in X

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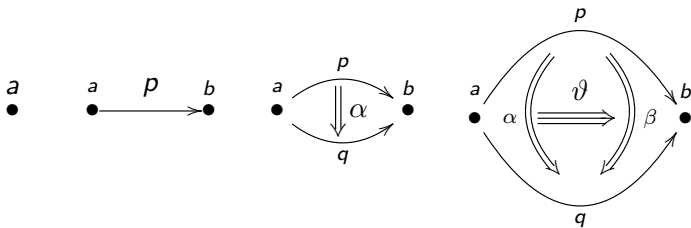
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This forces:

- ▶ *dependent types to be fibrations,*
- ▶ *Id-types to be path spaces,*
- ▶ *homotopic maps to be identical.*

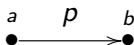
The fundamental groupoid of a type (Hofmann-Streicher)

Like path spaces in topology, identity types endow each type in the system with the structure of a (higher-) groupoid:



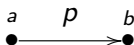
Fundamental groupoids

As in topology, the terms of order 0 and 1, (“points” and “paths”) bear the structure of a **groupoid**.



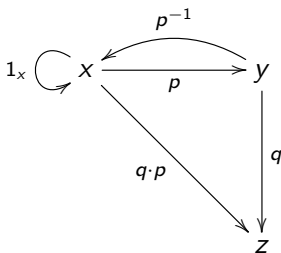
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Definition

A *groupoid* is a category in which every arrow has an inverse.



The fundamental groupoid of a type

The laws of identity are then the **groupoid operations**:

$r : \text{Id}(a, a)$	reflexivity	$a \rightarrow a$
$s : \text{Id}(a, b) \rightarrow \text{Id}(b, a)$	symmetry	$a \leftrightarrow b$
$t : \text{Id}(a, b) \times \text{Id}(b, c) \rightarrow \text{Id}(a, c)$	transitivity	$a \rightarrow b \rightarrow c$

The fundamental groupoid of a type

But also just as in topology, the **groupoid equations** of associativity, inverse, and unit:

$$p \cdot (q \cdot r) = (p \cdot q) \cdot r$$

$$p^{-1} \cdot p = 1 = p \cdot p^{-1}$$

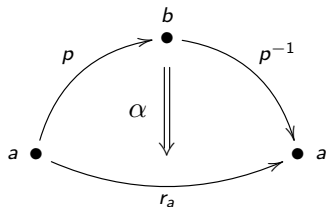
$$1 \cdot p = p = p \cdot 1$$

do not hold strictly, but only “up to homotopy”.

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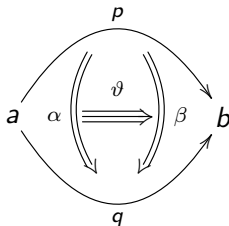
This means they are witnessed by terms of the next higher order:

$$\alpha : \text{Id}_{\text{Id}} (p^{-1} \cdot p, r_a)$$



The fundamental groupoid of a type

In this way, each type in the system is endowed with the structure of an “ ∞ -groupoid”, with terms, identities between terms, identities between identities, ...



Homotopy n -types (Voevodsky)

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Identity of terms in such a type is a proposition.

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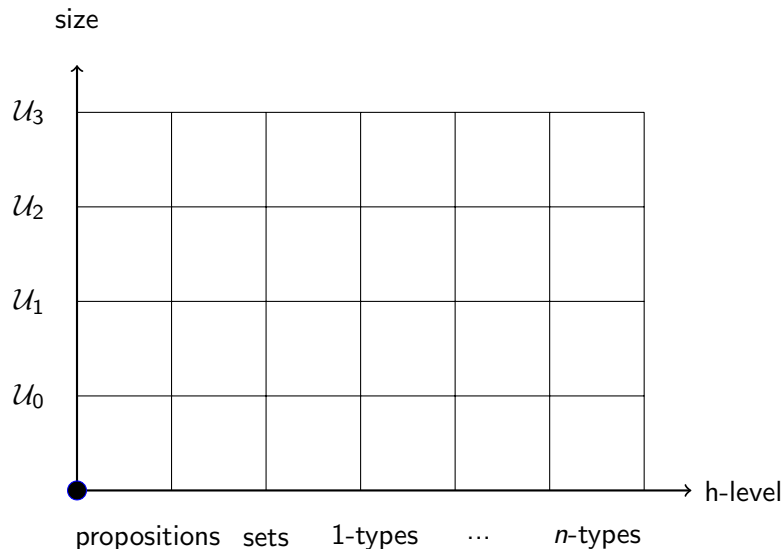
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$(n+1)$ -type iff $\prod_{x,y:X} n\text{Type}(\text{Id}_X(x, y))$.

The Hierarchy of n -Types

This gives a new view of the mathematical universe, in which some types have intrinsic higher-dimensional structure.



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This allows for computer verified proofs in homotopy theory and related fields, in addition to classical and constructive mathematics. This is being very actively pursued right now.

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- ▶ the fundamental group $\pi_1(X, b)$ of a type X at basepoint $b : X$ consists of all terms of type $\text{Id}_X(b, b)$.

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- ▶ the fundamental group $\pi_1(X, b)$ of a type X at basepoint $b : X$ consists of all terms of type $\text{Id}_X(b, b)$.
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This argument can be formalized in Coq and checked by a computer. In this way, we can use the homotopical interpretation to give machine-checked proofs in homotopy theory.

A computational example

```
(** ** The 2-dimensional groupoid structure *)
```

```
(** Horizontal composition of 2-dimensional paths. *)
```

```
Definition concat2 {A : Type} {x y z : A} {p p' : x = y} {q q' : y = z} (h : p = p') (h' : q = q')  
: p @ q = p' @ q'  
:= match h, h' with idpath, idpath => 1 end.
```

```
Notation "p @@@ q" := (concat2 p q)
```

```
(** 2-dimensional path inversion *)
```

```
Definition inverse2 {A : Type} {x y : A} {p q : x = y} (h : p = q) : p~ = q~  
:= match h with idpath => 1 end.
```

```
(** *** Whiskering *)
```

```
Definition whiskerL {A : Type} {x y z : A} (p : x = y) {q r : y = z} (h : q = r) : p @ q = p @ r  
:= 1 @@@ h.
```

```
Definition whiskerR {A : Type} {x y z : A} {p q : x = y} (h : p = q) (r : y = z) : p @ r = q @ r  
:= h @@@ 1.
```

```
(** *** Unwhiskering, a.k.a. cancelling. *)
```

```
Lemma cancelL {A} {x y z : A} (p : x = y) (q r : y = z) : (p @ q = p @ r) -> (q = r).
```

```
Proof.
```

```
  destruct p, r. intro a. exact ((concat_1p q)~ @ a).
```

```
Defined.
```

```
Lemma cancelR {A} {x y z : A} (p q : x = y) (r : y = z) : (p @ r = q @ r) -> (p = q).
```

```
Proof.
```

```
  destruct r, p. intro a. exact (a @ concat_p1 q).
```

```
Defined.
```

(** Whiskering and identity paths. *)

```
Definition whiskerR_p1 {A : Type} {x y : A} {p q : x = y} (h : p = q) :  
  (concat_p1 p) ^ @ whiskerR h 1 @ concat_p1 q = h  
:=  
match h with idpath =>  
  match p with idpath =>  
    1  
  end end.
```

```
Definition whiskerR_1p {A : Type} {x y z : A} (p : x = y) (q : y = z) :  
  whiskerR 1 q = 1 :> (p @ q = p @ q)  
:=  
match q with idpath => 1 end.
```

```
Definition whiskerL_p1 {A : Type} {x y z : A} (p : x = y) (q : y = z) :  
  whiskerL p 1 = 1 :> (p @ q = p @ q)  
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```

```
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  (concat_1p p) ^ @ whiskerL 1 h @ concat_1p q = h  
:=  
match h with idpath =>  
  match p with idpath =>  
    1  
  end end.
```

```
Definition concat2_p1 {A : Type} {x y : A} {p q : x = y} (h : p = q) :  
  h @ @ 1 = whiskerR h 1 :> (p @ 1 = q @ 1)  
:=  
match h with idpath => 1 end.
```

```
Definition concat2_1p {A : Type} {x y : A} {p q : x = y} (h : p = q) :  
  1 @ @ h = whiskerL 1 h :> (1 @ p = 1 @ q)  
:=  
match h with idpath => 1 end.
```

(** The interchange law for concatenation. *)

```
Definition concat_concat2 {A : Type} {x y z : A} {p p' p'' : x = y} {q q' q'' : y = z}
  (a : p = p') (b : p' = p'') (c : q = q') (d : q' = q'') :
  (a @@ c) @ (b @@ d) = (a @ b) @@ (c @ d).
```

Proof.

case d.

case c.

case b.

case a.

reflexivity.

Defined.

(** The interchange law for whiskering. Special case of [concat_concat2]. *)

```
Definition concat_whisker {A} {x y z : A} (p p' : x = y) (q q' : y = z) (a : p = p') (b : q = q') :
  (whiskerR a q) @ (whiskerL p' b) = (whiskerL p b) @ (whiskerR a q')
:=
match b with
  idpath =>
  match a with idpath =>
    (concat_1p _)^
  end
end.
```

(** Structure corresponding to the coherence equations of a bicategory. *)

(** The "pentagonator": the 3-cell witnessing the associativity pentagon. *)

```
Definition pentagon {A : Type} {v w x y z : A} (p : v = w) (q : w = x) (r : x = y) (s : y = z)
  : whiskerL p (concat_p_pp q r s)
    @ concat_p_pp p (q@r) s
    @ whiskerR (concat_p_pp p q r) s
  = concat_p_pp p q (r@s) @ concat_p_pp (p@q) r s.
```

Proof.

case p, q, r, s. reflexivity.

Defined.

```

(** The 3-cell witnessing the left unit triangle. *)
Definition triangulator {A : Type} {x y z : A} (p : x = y) (q : y = z)
  : concat_p_pp p 1 q @ whiskerR (concat_p1 p) q
  = whiskerL p (concat_1p q).

```

Proof.

```

  case p, q. reflexivity.

```

Defined.

```

(** The Eckmann-Hilton argument *)

```

```

Definition eckmann_hilton {A : Type} {x:A} (p q : 1 = 1 :=> (x = x)) : p @ q = q @ p :=
  (whiskerR_p1 p @@ whiskerL_1p q)^
  @ (concat_p1 _ @@ concat_p1 _)
  @ (concat_1p _ @@ concat_1p _)
  @ (concat_whisker _ _ _ _ p q)
  @ (concat_1p _ @@ concat_1p _)^
  @ (concat_p1 _ @@ concat_p1 _)^
  @ (whiskerL_1p q @@ whiskerR_p1 p).

```


Formalization of mathematics

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- ▶ UF uses a “synthetic” method involving high-level axiomatics and structural descriptions. Allows for shorter, more abstract proofs that are closer to mathematical practice than the “analytic” method of ZFC.
- ▶ Software verification should also benefit from higher dimensional methods: current work in progress at CMU.

Homotopy Type Theory: Summary

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- ▶ Other areas are also being developed:
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 - ▶ Elementary mathematics: basic algebra, real numbers, cardinal arithmetic, ...
- ▶ Some new logical ideas are suggested by the homotopy interpretation: Higher inductive types, Univalence axiom.

References and Further Information

More Information:

www.HomotopyTypeTheory.org

The Book:

*Homotopy Type Theory:
Univalent Foundations of Mathematics*

Homotopy Type Theory

Univalent Foundations of Mathematics

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Higher inductive types (Lumsdaine-Shulman)

The natural numbers \mathbb{N} are implemented as an (ordinary) inductive type:

$$\mathbb{N} := \left\{ \begin{array}{l} 0 : \mathbb{N} \\ s : \mathbb{N} \rightarrow \mathbb{N} \end{array} \right.$$

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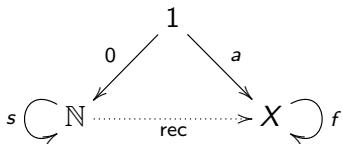
$$\frac{a : X \quad f : X \rightarrow X}{\text{rec}(a, f) : \mathbb{N} \rightarrow X}$$

with computation rules:

$$\begin{aligned} \text{rec}(a, f)(0) &= a \\ \text{rec}(a, f)(sn) &= f(\text{rec}(a, f)(n)) \end{aligned}$$

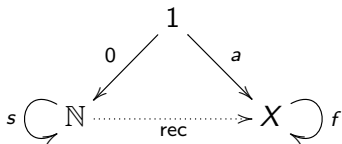
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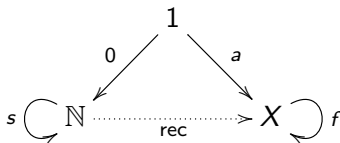
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Theorem

\mathbb{N} is a set (i.e. a 0-type).

Higher inductive types: The circle S^1

The homotopical circle $\mathbb{S} = S^1$ can be given as an inductive type involving a “higher-dimensional” generator:

$$\mathbb{S} := \left\{ \begin{array}{l} \text{base} : \mathbb{S} \\ \text{loop} : \text{Id}_{\mathbb{S}}(\text{base}, \text{base}) \end{array} \right.$$

where we think of $\text{loop} : \text{Id}_{\mathbb{S}}(\text{base}, \text{base})$ as a path

$$\text{loop} : \text{base} \rightsquigarrow \text{base}.$$

Higher inductive types: The circle S^1

$$\mathbb{S} := \begin{cases} \text{base} : \mathbb{S} \\ \text{loop} : \text{Id}_{\mathbb{S}}(\text{base}, \text{base}) \end{cases}$$

The **recursion principle** of \mathbb{S} is given by its elimination rule:

$$\frac{a : X \quad p : \text{Id}_X(a, a)}{\text{rec}(a, p) : \mathbb{S} \rightarrow X}$$

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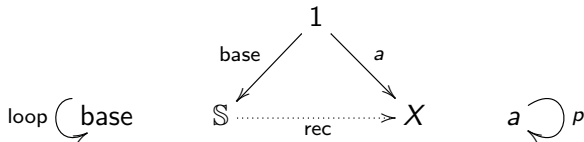
with computation rules:

$$\text{rec}(a, p)(\text{base}) = a$$

$$\text{rec}(a, p)(\text{loop}) = p$$

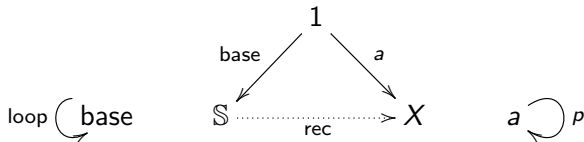
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The map $\text{rec}(a, p) : \mathbb{S} \rightarrow X$ is unique up to homotopy.

Higher inductive types: The circle S^1

Here is a sanity check:

Theorem (Shulman 2011)

The type-theoretic circle \mathbb{S} has the correct homotopy groups:

$$\pi_n(\mathbb{S}) = \begin{cases} \mathbb{Z}, & \text{if } n = 1, \\ 0, & \text{if } n \neq 1. \end{cases}$$

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The proof combines classical homotopy theory with methods from constructive type theory, and uses Voevodsky's Univalence Axiom. It has been formalized in Coq.

Higher inductive types: The interval /

The unit interval $\mathbb{I} = [0, 1]$ is also an inductive type, on the data:

$$\mathbb{I} := \begin{cases} 0, 1 : \mathbb{I} \\ p : \text{Id}_{\mathbb{I}}(0, 1) \end{cases}$$

now thinking of $p : \text{Id}_{\mathbb{I}}(0, 1)$ as a “free path”

$$p : 0 \rightsquigarrow 1.$$

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Slogan:

In topology, we start with the **interval** and use it to define the notion of a **path**.

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In HoTT, we start with the notion of a **path**, and use it to define the **interval**.

Higher inductive types: Conclusion

Many basic spaces and constructions can be introduced as HITs:

- ▶ higher spheres S^n , cylinders, tori, cell complexes, . . . ,
- ▶ suspensions ΣA ,
- ▶ homotopy pullbacks, pushouts, etc.,
- ▶ truncations, such as connected components $\pi_0(A)$ and “bracket” types $[A]$,
- ▶ quotients by equivalence relations and general quotients,
- ▶ free algebras, algebras for a monad,
- ▶ (higher) homotopy groups π_n , Eilenberg-MacLane spaces $K(G, n)$, Postnikov systems,
- ▶ Quillen model structure,
- ▶ real numbers,
- ▶ cumulative hierarchy of sets.

Univalence

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- ▶ It is **formally incompatible** with the assumption that all types are **sets**.
- ▶ Its status as a **constructive principle** is the focus of much current research.

Isomorphism and Equivalence

In type theory, the usual notion of *isomorphism* $A \cong B$ is definable:

$$A \cong B \Leftrightarrow \text{there are } f : A \rightarrow B \text{ and } g : B \rightarrow A \\ \text{such that } gf(x) = x \text{ and } fg(y) = y.$$

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Formally, there is the type of isomorphisms:

$$\text{Iso}(A, B) := \sum_{f:A \rightarrow B} \sum_{g:B \rightarrow A} \left(\prod_{x:A} \text{Id}_A(gf(x), x) \times \prod_{y:B} \text{Id}_B(fg(y), y) \right)$$

Isomorphism and Equivalence

In type theory, the usual notion of *isomorphism* $A \cong B$ is definable:

$$A \cong B \Leftrightarrow \text{there are } f : A \rightarrow B \text{ and } g : B \rightarrow A \\ \text{such that } gf(x) = x \text{ and } fg(y) = y.$$

Formally, there is the type of isomorphisms:

$$\text{Iso}(A, B) := \sum_{f:A \rightarrow B} \sum_{g:B \rightarrow A} \left(\prod_{x:A} \text{Id}_A(gf(x), x) \times \prod_{y:B} \text{Id}_B(fg(y), y) \right)$$

Thus $A \cong B$ iff this type is inhabited by a closed term, which is then just an isomorphism between A and B .

Isomorphism and Equivalence

- ▶ There is also a more refined notion of *equivalence* of types,

$$A \simeq B$$

which adds a further “coherence” condition relating the *proofs* of $gf(x) = x$ and $fg(y) = y$.

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- ▶ Under the homotopy interpretation, this is the type of *homotopy equivalences*.
- ▶ This subsumes *categorical equivalence* (for 1-types), *isomorphism of sets* (for 0-types), and *logical equivalence* (for (-1)-types).

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One can show that all *definable properties* $P(X)$ of types X respect type equivalence:

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How is this related to **identity of types** A and B ?

Univalence

To reason about **identity of types**, we need a *type universe* \mathcal{U} , with an identity type,

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So UA can be stated: “*Identity is equivalent to equivalence.*”

The Univalence Axiom: Remarks

- ▶ Since UA is an equivalence, there is a map coming back:

$$\mathrm{Id}_{\mathcal{U}}(A, B) \longleftarrow (A \simeq B)$$

In this sense, **equivalent objects are identical**.

- ▶ So logically equivalent propositions are identical, and isomorphic sets, groups, etc., can be identified.

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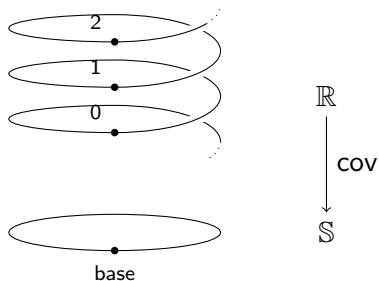
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In this sense, **equivalent objects are identical**.

- ▶ So logically equivalent propositions are identical, and isomorphic sets, groups, etc., can be identified.
- ▶ UA implies that \mathcal{U} , in particular, is not a set (0-type).
- ▶ The computational character of UA is still an open question.

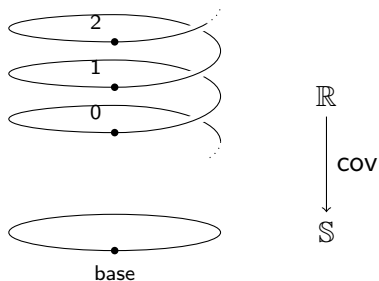
The Univalence Axiom: How it works

To compute the fundamental group of the circle \mathbb{S} , we shall construct the universal cover:



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This will be a dependent type over \mathbb{S} , i.e. a type family

$$\text{cov} : \mathbb{S} \longrightarrow \mathcal{U}.$$

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To define a type family

$$\text{cov} : \mathbb{S} \longrightarrow \mathcal{U},$$

by the recursion property of the circle, we just need the following data:

- ▶ a point $A : \mathcal{U}$
- ▶ a loop $p : A \rightsquigarrow A$

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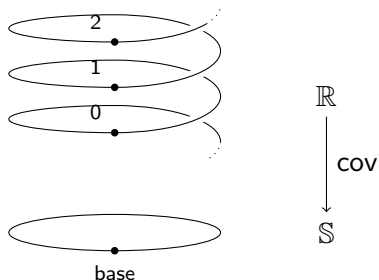
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- ▶ a loop $p : A \rightsquigarrow A$

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Since \mathbb{Z} is a set, equivalences are just isomorphisms, so we can take the successor function $\text{succ} : \mathbb{Z} \cong \mathbb{Z}$.

The Univalence Axiom: How it works



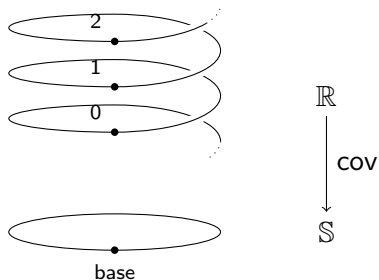
Definition (Universal Cover of \mathbb{S}^1)

The dependent type $\text{cov} : \mathbb{S} \rightarrow \mathcal{U}$ is given by circle-recursion, with

$$\text{cov}(\text{base}) := \mathbb{Z}$$

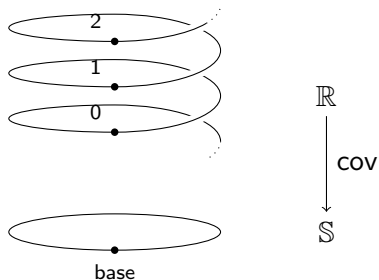
$$\text{cov}(\text{loop}) := \text{ua}(\text{succ}).$$

The Univalence Axiom: How it works



As in classical homotopy theory, we use the universal cover to define the “winding number” of any path $p : \text{base} \rightsquigarrow \text{base}$ by $\text{wind}(p) = p_*(0)$.

The Univalence Axiom: How it works



As in classical homotopy theory, we use the universal cover to define the “winding number” of any path $p : \text{base} \rightsquigarrow \text{base}$ by $\text{wind}(p) = p_*(0)$. This gives a map

$$\text{wind} : \Omega(\mathbb{S}) \longrightarrow \mathbb{Z},$$

which is inverse to the map $\mathbb{Z} \longrightarrow \Omega(\mathbb{S})$ given by

$$z \mapsto \text{loop}^z.$$

The formal proof

```
(** * Theorems about the circle S1. *)

Require Import Overture PathGroupoids Equivalences Trunc HSet.
Require Import Paths Forall Arrow Universe Empty Unit.
Local Open Scope path_scope.
Local Open Scope equiv_scope.
Generalizable Variables X A B f g n.

(* *** Definition of the circle. *)

Module Export Circle.

Local Inductive S1 : Type :=
| base : S1.

Axiom loop : base = base.

Definition S1_rect (P : S1 -> Type) (b : P base) (l : loop # b = b)
  : forall (x:S1), P x
  := fun x => match x with base => b end.

Axiom S1_rect_beta_loop
  : forall (P : S1 -> Type) (b : P base) (l : loop # b = b),
  apD (S1_rect P b l) loop = l.

End Circle.
```

(* *** The non-dependent eliminator *)

```
Definition S1_rectnd (P : Type) (b : P) (l : b = b)
  : S1 -> P
  := S1_rect (fun _ => P) b (transport_const _ _ @ l).
```

```
Definition S1_rectnd_beta_loop (P : Type) (b : P) (l : b = b)
  : ap (S1_rectnd P b l) loop = l.
```

Proof.

```
  unfold S1_rectnd.
  refine (cancell (transport_const loop b) _ _ _).
  refine ((apD_const (S1_rect (fun _ => P) b _) loop)^ @ _).
  refine (S1_rect_beta_loop (fun _ => P) _ _).
```

Defined.

(* *** The loop space of the circle is the Integers. *)

(* First we define the appropriate integers. *)

```
Inductive Pos : Type :=
| one : Pos
| succ_pos : Pos -> Pos.
```

```
Definition one_neq_succ_pos (z : Pos) : ~ (one = succ_pos z)
  := fun p => transport (fun s => match s with one => Unit | succ_pos t => Empty end) p tt.
```

```
Definition succ_pos_injective {z w : Pos} (p : succ_pos z = succ_pos w) : z = w
  := transport (fun s => z = (match s with one => w | succ_pos a => a end)) p (idpath z).
```

```
Inductive Int : Type :=
| neg : Pos -> Int
| zero : Int
| pos : Pos -> Int.
```

```
Definition neg_injective {z w : Pos} (p : neg z = neg w) : z = w
:= transport (fun s => z = (match s with neg a => a | zero => w | pos a => w end)) p (idpath z).
```

```
Definition pos_injective {z w : Pos} (p : pos z = pos w) : z = w
:= transport (fun s => z = (match s with neg a => w | zero => w | pos a => a end)) p (idpath z).
```

```
Definition neg_neq_zero {z : Pos} : ~ (neg z = zero)
:= fun p => transport (fun s => match s with neg a => z = a | zero => Empty
| pos _ => Empty end) p (idpath z).
```

```
Definition pos_neq_zero {z : Pos} : ~ (pos z = zero)
:= fun p => transport (fun s => match s with pos a => z = a
| zero => Empty | neg _ => Empty end) p (idpath z).
```

```
Definition neg_neq_pos {z w : Pos} : ~ (neg z = pos w)
:= fun p => transport (fun s => match s with neg a => z = a
| zero => Empty | pos _ => Empty end) p (idpath z).
```

(* And prove that they are a set. *)

Instance hset_int : IsHSet Int.

Proof.

```
  apply hset_decidable.
  intros [n | | n] [m | | m].
  revert m; induction n as [n IHn]; intros m; induction m as [m IHm].
  exact (inl 1).
  exact (inr (fun p => one_neq_succ_pos _ (neg_injective p))).
  exact (inr (fun p => one_neq_succ_pos _ (symmetry _ _ (neg_injective p)))).
  destruct (IHn m) as [p | np].
  exact (inl (ap neg (ap succ_pos (neg_injective p)))).
  exact (inr (fun p => np (ap neg (succ_pos_injective (neg_injective p))))).
  exact (inr neg_neq_zero).
  exact (inr neg_neq_pos).
  exact (inr (neg_neq_zero o symmetry _ _)).
  exact (inl 1).
```

```

exact (inr (pos_neq_zero o symmetry _ _)).
exact (inr (neg_neq_pos o symmetry _ _)).
exact (inr pos_neq_zero).
revert m; induction n as [|n IHn]; intros m; induction m as [|m IHm].
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destruct (IHn m) as [p | np].
exact (inl (ap pos (ap succ_pos (pos_injective p)))).
exact (inr (fun p => np (ap pos (succ_pos_injective (pos_injective p)))).
Defined.

```

(* Successor is an autoequivalence of [Int]. *)

```

Definition succ_int (z : Int) : Int
:= match z with
  | neg (succ_pos n) => neg n
  | neg one => zero
  | zero => pos one
  | pos n => pos (succ_pos n)
end.

```

```

Definition pred_int (z : Int) : Int
:= match z with
  | neg n => neg (succ_pos n)
  | zero => neg one
  | pos one => zero
  | pos (succ_pos n) => pos n
end.

```

```

Instance isequiv_succ_int : IsEquiv succ_int
:= isequiv_adjointify succ_int pred_int _ _ .

```

Proof.

```

  intros [|n] | | [|n]]; reflexivity.
  intros [|n] | | [|n]]; reflexivity.
Defined.

```



```
(* Now we do the encode/decode. *)
```

```
Section AssumeUnivalence.
```

```
Context '{Univalence} '{Funext}.
```

```
Definition S1_code : S1 -> Type
```

```
:= S1_rectnd Type Int (path_universe succ_int).
```

```
(* Transporting in the codes fibration is the successor autoequivalence. *)
```

```
Definition transport_S1_code_loop (z : Int)
```

```
: transport S1_code loop z = succ_int z.
```

```
Proof.
```

```
refine (transport_compose idmap S1_code loop z @ _).
```

```
unfold S1_code; rewrite S1_rectnd_beta_loop.
```

```
apply transport_path_universe.
```

```
Defined.
```

```
Definition transport_S1_code_loopV (z : Int)
```

```
: transport S1_code loop^ z = pred_int z.
```

```
Proof.
```

```
refine (transport_compose idmap S1_code loop^ z @ _).
```

```
rewrite ap_V.
```

```
unfold S1_code; rewrite S1_rectnd_beta_loop.
```

```
rewrite <- path_universe_V.
```

```
apply transport_path_universe.
```

```
Defined.
```

(* Encode by transporting *)

```
Definition S1_encode (x:S1) : (base = x) -> S1_code x
:= fun p => p # zero.
```

(* Decode by iterating loop. *)

```
Fixpoint loopexp {A : Type} {x : A} (p : x = x) (n : Pos) : (x = x)
:= match n with
  | one => p
  | succ_pos n => loopexp p n @ p
end.
```

```
Definition looptothe (z : Int) : (base = base)
:= match z with
  | neg n => loopexp (loop~) n
  | zero => 1
  | pos n => loopexp (loop) n
end.
```

```
Definition S1_decode (x:S1) : S1_code x -> (base = x).
```

Proof.

```
revert x; refine (S1_rect (fun x => S1_code x -> base = x) looptothe _).
apply path_forall; intros z; simpl in z.
refine (transport_arrow _ _ @ _).
refine (transport_paths_r loop _ @ _).
rewrite transport_S1_code_loopV.
destruct z as [[|n|] | [|n|]]; simpl.
by apply concat_pV_p.
by apply concat_pV_p.
by apply concat_Vp.
by apply concat_1p.
reflexivity.
```

Defined.

(* The nontrivial part of the proof that decode and encode are equivalences is showing that decoding followed by encoding is the identity on the fibers over [base]. *)

```
Definition S1_encode_looptothe (z:Int)
  : S1_encode base (looptothe z) = z.
```

Proof.

```
destruct z as [n | | n]; unfold S1_encode.
induction n; simpl in *.
refine (moveR_transport_V _ loop _ _).
by apply symmetry, transport_S1_code_loop.
rewrite transport_pp.
refine (moveR_transport_V _ loop _ _).
refine (_ @ (transport_S1_code_loop _)^).
assumption.
reflexivity.
induction n; simpl in *.
by apply transport_S1_code_loop.
rewrite transport_pp.
refine (moveR_transport_p _ loop _ _).
refine (_ @ (transport_S1_code_loopV _)^).
assumption.
Defined.
```

```
(* Now we put it together. *)
```

```
Definition S1_encode_isequiv (x:S1) : IsEquiv (S1_encode x).
```

```
Proof.
```

```
  refine (isequiv_adjointify (S1_encode x) (S1_decode x) _ _).
```

```
  (* Here we induct on [x:S1]. We just did the case when [x] is [base]. *)
```

```
  refine (S1_rect (fun x => Sect (S1_decode x) (S1_encode x))
```

```
    S1_encode_looptothe _ _).
```

```
  (* What remains is easy since [Int] is known to be a set. *)
```

```
  by apply path_forall; intros z; apply set_path2.
```

```
  (* The other side is trivial by path induction. *)
```

```
  intros []; reflexivity.
```

```
Defined.
```

```
Definition equiv_loopS1_int : (base = base) <~> Int
```

```
  := BuildEquiv _ _ (S1_encode base) (S1_encode_isequiv base).
```

```
End AssumeUnivalence.
```

Final Example: The cumulative hierarchy

Given a universe \mathcal{U} , we can make the *cumulative hierarchy* V of sets in \mathcal{U} as a HIT:

- ▶ for any small A and any map $f : A \rightarrow V$, there is a “set”:

$$\text{set}(A, f) : V$$

We think of $\text{set}(A, f)$ as the image of A under f , i.e. the classical set $\{f(a) \mid a \in A\}$

- ▶ For all $A, B : \mathcal{U}$, $f : A \rightarrow V$ and $g : B \rightarrow V$ such that

$$(\forall a : A \exists b : B f(a) = g(b)) \wedge (\forall b : B \exists a : A f(a) = g(b))$$

we put in a path in V from $\text{set}(A, f)$ to $\text{set}(B, g)$.

- ▶ The 0-truncation constructor: for all $x, y : V$ and $p, q : x = y$, we have $p = q$.

The cumulative hierarchy of sets

Membership $x \in y$ is then defined for elements of V by:

$$(x \in \text{set}(A, f)) := (\exists a : A. x = f(a)).$$

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The proofs make essential use of UA.